# TOWARDS GENERAL THEORY OF RASTER DATA GENERALIZATION

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# Simple data format, but...

### assignment ambiguity & MAUP



## 2 processes

#### Cell value recalculation

### Cell scaling and resampling

# Framework for raster generalization

- Need a useful paradigm for geographical raster generalization
- Specialized thematic maps can't just use resampled and averaged rasters

e.g., climatology, oceanography, social science, vector fields, etc.

# Frameworks

Cartography:

- McMaster & Monmonier 1989
- Li et al. 2001
- Peuquet 1979
- ... also much DEM generalization work

Computer Science:

• Scale-space theory

# M&M 1989

- 1. Structural generalization (resolution changes)
- 2. Numerical generalization (kernel-based convolution)
- 3. Numerical categorization (image classification)
- 4. Categorical generalization (kernel-based simplification of categ. data)

# Scale-Space Theory

Scale-space theory is a framework for multi-scale signal representation developed by the computer vision, image processing and signal processing communities with complementary motivations from physics and biological vision. It is a formal theory for handling image structures at different scales, by representing an image as a one-parameter family of smoothed images, the scale-space representation, parametrized by the size of the smoothing kernel used for suppressing finescale structures.

--Wikipedia



### Pointes de généralisation (Ratajski 1967)

- Scales at which information content cannot be maintained (e.g., quantified by entropy)
- Scales at which patterns cannot be maintained (e.g., quantified by Moran's I, etc.)
- Scales at which features cannot be planimetrically represented (Nyquist-Shannon sampling theorem and resolution)
- Scales at which features must manifest at higher order (e.g., trees to forest, dunes to desert, etc.)

# Unexplored raster gen topics

- Entropy (explorations by Bjorke, Li, Knöpfli, etc.)
- Multi-band raster generalization
- Evaluation
- Operator sequences
- Star vs. Ladder
- Projection distortions in data processing

# Case study

# Raster processing with variable kernel shapes

# Map projection distortions and generalization

- Generalization in small scales is highly affected by map projection distortions
- Various measures that are used in generalization as constraints and parameters depend on local distortion ellipse
- Small-scale generalization workflow should be aware of this issue





- Distances, areas and polar angles differ greatly
- Results of generalization will depend on projection

# Raster processing

- Floating window techniques

   standard for numerical generalization of rasters
- Standard issue: wrong slope angles, flattened or overexxagerated hillshading due to map projection distortions.
- Biased raster statistics (mean, standard deviation) due to areal distortions



# Floating window processing

### Geometric

- Fixed tesselation neighborhood (3x3, 5x5 etc)
- Advantages:
  - Standard technique with fixed kernel can be applied
  - Quick processing
- Disadvantages
  - Incorrect calculation of derivatives

### Geographic

- Fixed geographic neighborhood (variable according to local distortions)
- Advantages:
  - The same geographical neighborhood is processed everywhere
  - Correct calculations of derivatives from rasters
- Disadvantages:
  - Slow processing

# Geodetic calculations?

$$m_{G} = \sqrt{\frac{\left(\frac{\delta G}{\delta p}\right)^{2}}{\left(\frac{\delta A}{\delta p}\right)^{2}}m_{p}^{2} + \left(\frac{\delta G}{\delta q}\right)^{2}}m_{q}^{2}} = \dots = \frac{0.41m_{z}}{w(1+p^{2}+q^{2})},$$

$$m_{A} = \sqrt{\frac{\left(\frac{\delta A}{\delta p}\right)^{2}}{\left(\frac{\delta A}{\delta p}\right)^{2}}m_{p}^{2} + \left(\frac{\delta A}{\delta q}\right)^{2}}m_{q}^{2}} = \dots = \frac{0.41m_{z}}{w\sqrt{p^{2}+q^{2}}},$$
(24)
(25)

$$m_{k_h} = \sqrt{\left(\frac{\delta k_h}{\delta r}\right)_0^2} m_r^2 + \left(\frac{\delta k_h}{\delta t}\right)_0^2 m_t^2 + \left(\frac{\delta k_h}{\delta s}\right)_0^2 m_s^2 + \left(\frac{\delta k_h}{\delta p}\right)_0^2 m_p^2 + \left(\frac{\delta k_h}{\delta q}\right)_0^2 m_q^2 = \dots$$
$$\dots = \frac{1 \cdot 41m_z}{w(p^2 + q^2)} \sqrt{\frac{1}{1 + p^2 + q^2}} \left\{\frac{2q^4 + p^2q^2 + 2p^4}{2w^2} + \frac{1}{3}\left[(qs - pt)^2 + (ps - qr)^2 + (ps - qr)^2\right]}{\frac{1}{1 + p^2 + q^2}} \right\}$$

$$+ \frac{(q^{2}r - 2pqs + p^{2}t)(p^{2} + q^{2})}{4(1 + p^{2} + q^{2})^{2}} - \frac{(q^{2}r - 2pqs + p^{2}t)^{2}}{p^{2} + q^{2}} \bigg] \bigg\},$$
(26)  
$$m_{k_{y}} = \sqrt{\left(\frac{\delta k_{y}}{\delta r}\right)^{2}_{0}} \frac{m_{r}^{2} + \left(\frac{\delta k_{y}}{\delta t}\right)^{2}_{0} m_{t}^{2} + \left(\frac{\delta k_{y}}{\delta s}\right)^{2}_{0} m_{s}^{2} + \left(\frac{\delta k_{y}}{\delta p}\right)^{2}_{0} m_{p}^{2} + \left(\frac{\delta k_{y}}{\delta q}\right)^{2}_{0} m_{q}^{2} = \dots$$

$$\dots = \frac{1 \cdot 41m_z}{w(p^2 + q^2)} \sqrt{\frac{1}{(1 + p^2 + q^2)^3}} \left\{ \frac{2q^4 + p^2q^2 + 2p^4}{2w^2} + \frac{1}{3} \right\} (qs + pr)^2 + (ps + qt)^2}$$

+

$$+\frac{9(p^{2}r+2pqs+q^{2}t)(p^{2}+q^{2})}{4(1+p^{2}+q^{2})^{2}}-\frac{(p^{2}r+2pqs+q^{2}t)^{2}}{p^{2}+q^{2}}\right]\bigg\}.$$
(27)

# Workflow

- 1. Define the initial shape of the kernel
- 2. Sample raster area by the control points which are equally spaced in degrees.
- 3. Calculate the parameters of distortion ellipse at each point using projection equations.
- 4. Using distortion ellipse parameters, define the local matrix of affine transformation
- 5. Transform initial kernel shape and rasterize it. Round the size of the kernel to the odd number if needed.
- 6. For each pixel in initial raster find the closest control point and assign its number to the pixel.
- 7. Process the whole raster using kernels from assigned control points.

# S Variable kerne

Mercator Projection

Meridian and parallel scales  $m = n = 1/\cos(B)$ 

Angle between meridian and parallel  $\Theta = \pi/2$ 





# Simple Filtering







### After Processing — Equal Area Conic



# Conclusions

- Variable kernel shape is useful for:
  - Geographic averaging and analysis, calculation of derivatives
  - Emphasis on map projection distortions (variable detail on map)
- Future perspectives:
  - General processing framework (all projections)
  - Asymmetric filters (large geographic area)



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**QUESTIONS?**